

APPENDIX

CONFLICT OF INTEREST CODE

OF THE

NORTH COUNTY TRANSIT DISTRICT

(Amended September 21, 2006)

EXHIBIT "A"

OFFICIALS WHO MANAGE PUBLIC INVESTMENTS

District Officials who manage public investments, as defined by 2 Cal. Code of Regs. 18701(b), are NOT subject to the District's Code, but are subject to the disclosure requirements of the Act. (Government Code Section 87200 et seq.). [Regs. 18730(b)(3)] These positions are listed here for informational purposes only.

It has been determined that the positions listed below are officials who manage public investments¹:

Board Members and Alternates

Executive Director

Director of Fiscal and Support Services

Financial Consultants

¹ Individuals holding one of the above-listed positions may contact the FPPC for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The FPPC makes the final determination whether a position is covered by 87200.

DESIGNATED POSITIONS

GOVERNED BY THE CONFLICT OF INTEREST CODE

DESIGNATED EMPLOYEES'
TITLE OR FUNCTION

DISCLOSURE CATEGORIES
ASSIGNED

Accessible Services Administrator	2, 3, 6
Assistant Controller	4, 6
Audit and Compliance Officer	1
Benefits Administrator	6
Budget Officer	5
Business Applications Administrator	6
Buyer	1
Chief Information Officer	6
Chief Management Accountant	1, 2
Chief of Transportation Enforcement	6
Chief Rail Engineer	2, 3, 6
Community Outreach Representative	1
Construction Project Officer	2, 3, 6
Contracts Administrator	5
Deputy Executive Director	1, 2
Director of Bus Operations & Maintenance	3, 6
Director of Communications and Business Development	1, 2
Director of Rail Services	1, 2
Economic Development Administrator	1, 2
Engineer	2, 3, 6
Engineering Aide	3, 6
Environmental Project Planner (Rail)	1, 2
Executive Assistant	6
Facility Maintenance Supervisor	6
Facility Project Planner	6
Financial Applications Analyst	6
General Counsel	1, 2

DESIGNATED EMPLOYEES'
TITLE OR FUNCTION

DISCLOSURE CATEGORIES
ASSIGNED

General Services Supervisor	6
Grants Specialist	1
Human Resources Specialist	6
Management Analyst	6
Manager of Bus Operations	6
Manager of Capital Construction	2, 3, 6
Manager of Communications & Marketing	6
Manager of Commuter Rail Services	6
Manager of Contracts and Procurement	1, 2
Manager of Facility Maintenance	3, 6
Manager of Financial Services	4
Manager of Fleet Maintenance	6
Manager of Human Resources	6
Manager of IT Support	6
Manager of Light Rail Services	6
Manager of Maintenance-of-Way	6
Manager of Safety, Risk & Training	6
Manager of Service Development	6
Marketing Representative	6
Principal Planner	2, 3, 6
Quality Control Manager	1, 2
Rail Contract Compliance Officer	7
Rail Mechanical Maintenance Officer	6
Real Estate Asset Administrator	1, 2
Revenue Supervisor	5
Senior Contract Administrator	1, 2
Senior Engineers	2, 3, 6
Senior Graphic Communications Designer	6
Senior Planner	2, 3, 6

DESIGNATED EMPLOYEES'
TITLE OR FUNCTION

DISCLOSURE CATEGORIES
ASSIGNED

Senior Systems Administrator	6
Senior Systems Analyst	6
Storeroom Supervisor	6
Consultant ²	

²

Consultants shall be included in the list of Designated Employees and shall disclose pursuant to the broadest disclosure category in this Code subject to the following limitation:

The Executive Director may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that are limited in scope and thus is not required to fully comply with the disclosure requirements described in this Section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

EXHIBIT "B"

DISCLOSURE CATEGORIES

The disclosure categories listed below identify the types of investments, business entities, sources of income, or real property which the Designated Employee must disclose for each disclosure category to which he or she is assigned.

Category 1: All investments and business positions in business entities, and sources of income, that are located in, do business in, or own real property within the jurisdiction of the District .

Category 2: All interests in real property which is located in whole or in part within, or not more than two (2) miles outside, the jurisdiction of the District.

Category 3: All investments and business positions in, and sources of income from, business entities that are engaged in land development, construction or the acquisition or sale of real property within the jurisdiction of the District.

Category 4: All investments and business positions in, and sources of income from, business entities that are banking, savings and loan, or other financial institutions.

Category 5: All investments and business positions in, and sources of income from, business entities that provide services, supplies, materials, machinery, vehicles or equipment of a type purchased or leased by the District.

Category 6: All investments and business positions in, and sources of income from, business entities that provide services, supplies, materials, machinery, vehicles or equipment of a type purchased or leased by the Designated Employee's Department.

Category 7: All investments and business positions in, and sources of income from, business entities subject to the regulatory, permit, or licensing authority of the Designated Employee's Department.